STATE OF LOUISIANA
NON-PARTICIPATING MANUFACTURER CERTIFICATION
PURSUANT TO LSA-R.S. 13:5071 et seq.

Part 1: Tobacco Product Manufacturer Identification

Company: _____

Physical Address: _____

Address: _____

Phone: _____ FAX: _____

Alternate Address: _____

Address: _____

Phone: _____ FAX: _____

Name of Person Completing Report: _____

Title: _____ E-mail: _____

Part 2: Sales Year By Quarter

Year of Sales for this Certificate of Compliance is: _____
(Complete a separate certification for each year of sales)

Part 3: Louisiana Agent for Service of Process
(For Companies that have not registered to do business in this State with the Louisiana Secretary of State)

Agent Name: _____

Company: _____

Address: _____

Address: _____

Phone: _____ FAX: _____

E-mail: _____

- Attach proof that this Louisiana Agent has been appointed and continually engaged in this state to act as agent for service of process on whom all process and any action or proceeding against the Tobacco Product Manufacturer concerning or arising out of the enforcement of LSA R.S. 13:5071 *et seq*. and LSA R.S. 13:5061 *et seq*., may be served in any manner authorized by law.

Date: _____ **Initial:** _____

Part 4: Qualified Escrow Fund – Financial Institution

Name of Institution: _____

Address: _____

Representative Name: _____ Phone: _____

Escrow Acct. No.: _____ Date established: _____

State Sub-Account No.: _____ Date established: _____

- Attach proof from the Financial Institution of the account balance(s) provided herein, and instruct the Financial Institution to mail monthly statements to this office reflecting the current account balance(s).

Has the Escrow Agreement been presented to the Attorney General (date)? _____

Date executed?: _____ Amended?: _____

*(**Include all amendments applicable to La.**)*

Escrow Deposit/Withdrawal History for Louisiana

Date	Deposit	Withdrawal	Balance

Part 5: Non-Participating Manufacturer Certifications:

A. That, as of the date of this certification, the certifying Tobacco Product Manufacturer herein, certifies that it is in full compliance with LSA R.S. 13:5061 *et seq*. and 13:5071 *et seq.*, including all installment payments required by LSA R.S. 13:5075(E);

B. That, the certifying Tobacco Product Manufacturer herein, hereby acknowledges the requirement to hereafter, unless otherwise directed by the Attorney General, make quarterly escrow deposits in order to comply with LSA R.S. 13:5061 *et seq.*;

C. That, no later than the thirtieth day of April of each of the following years, the certifying Tobacco Product Manufacturer herein, will execute and deliver to the Louisiana Attorney General's Office, a current certification in this same form or another as prescribed by the Louisiana Attorney General's Office.

Date: _____ Initial: _____

D. That, as of the date of this certification, the certifying Tobacco Product Manufacturer herein, certifies that the Brand Family/Families listed herein and as attachments, and all supporting information, is true, correct, and complete;

E. That, the certifying Tobacco Product Manufacturer herein hereby affirms that the Brand Family/Families listed herein are deemed to be its cigarettes and/or roll-your-own for purposes of LSA R.S. 13:5061 *et seq*. and 13:5071 *et seq*. and that as the certifying manufacturer herein, attests that he/she has read and understands the obligations, responsibilities and laws applicable to all Tobacco Product Manufacturers and Non-Participating Manufacturers pursuant to aforementioned Louisiana laws;

F. That, the certifying Tobacco Product Manufacturer herein, hereby acknowledges that the Brand Family/Families will not be authorized for sale in Louisiana if the "country of origin" on the packaging differs from the "country of origin" listed on the Attorney General's brand directory;

G. That, the certifying Tobacco Product Manufacturer herein, certifies that thirty days prior to the addition to or modification of the Brand Family/Families listed in Attachment #1 and #2, it will execute and deliver a supplemental certification to the Louisiana Attorney General's Office;

H. That, the certifying Tobacco Product Manufacturer herein, certifies that thirty days prior to the termination of the authority of its Louisiana agent, for service of process, it will provide notice to the Attorney General and Secretary of the Department of Revenue, and five days prior to the termination of authority of an agent, for service of process, will provide proof to the satisfaction of the Attorney General of the appointment of a new Louisiana agent, for service of process;

I. That, the certifying Tobacco Product Manufacturer herein, will maintain all invoices and documentation of sales, imports and exports as well as other such information relied upon for such certification for a period of five years, unless otherwise required by law to maintain them for a greater period of time;

J. That, the certifying Tobacco Product Manufacturer herein, hereby acknowledges the requirement to hereafter, unless otherwise directed by the Attorney General, to submit and agree to the jurisdiction of the 19th Judicial District of the Parish of East Baton Rouge over all Non-Participating issues, as detailed in LSA 13:5061 *et seq*. and 13:5071 *et seq*.

Date: _____ Initial: _____

Under penalty of perjury, I state that the information contained in this Certification (including Attachment #1 and #2) is true and accurate. Further, I understand that any misinformation, fabrication, inaccuracies, and/or material omissions, or any actions in violation of Louisiana law or the statements hereby attested to, may lead to the removal or affect the status of an approved Tobacco Product Manufacturer and their brand or brands.

Signature of Authorized Agent: _____Title: _____

Name of Authorized Agent: _____Date: _____

Signature of Notary Public: _____ Parish or County of _____

Sworn and Subscribed before me on this date: _____

My Commission Expires: _____

Mail this completed certificate of compliance to:

STATE OF LOUISIANA
OFFICE OF THE ATTORNEY GENERAL
TOBACCO SETTLEMENT ENFORCEMENT UNIT
P. O. BOX 94005
1885 North Third Street, Fourth Floor
BATON ROUGE, LA 70804-9005

AFFIX
NOTARY
SEAL
HERE

Date: _____ **Initial:** _____

ATTACHMENT #1 – BRAND FAMILIES TO BE CERTIFIED IN LOUISIANA

List all Brand Families presented for Certification in Louisiana (LA).
Note: Only brand families listed here will appear on the Attorney General's brand directory.
(Attach Additional Sheets if Necessary).

Brand Families[1] to be sold in LA	Trademark Holder	Units Sold in LA (List quantity and year sold)	Country of Origin (as it appears on the packaging)	Name of Manufacturer and Manufacturing Facility Location (complete physical address)

[1] "Brand Family" shall have the same meaning as defined in LSA R.S. 13:5072(1). **NOTE 1**: It is not necessary to list every brand in a brand family UNLESS the brands are manufactured at different locations and/or have a different country of origin printed on the packaging. **NOTE 2**: If the "country of origin" on the packaging does not match the "country of origin" on the brand directory, the product cannot be sold in LA.

ATTACHMENT #2 – ALL OTHER BRAND FAMILIES

List all current and past brand families manufactured in the last Five (5) years.
Note: Identify whether or not the brand family has been sold in Louisiana (LA) in the last Five (5) years.
(Attach Additional Sheets if Necessary).

Current and Past Brand Families[2]	Trademark Holder	Units Sold in LA? (List quantity and year sold)	Country of Origin	Name of Manufacturer and Manufacturing Facility Location (complete physical address)

[2] "Brand Family" shall have the same meaning as defined in LSA R.S. 13:5072(1). **Please note that Roll-Your-Own ("RYO") brands should be listed separately than cigarette brands, as they are also listed separately on the Louisiana Approved Tobacco Brand Directory.**